Mortality in rural coastal Kenya measured using the Kilifi Health and Demographic Surveillance System: a 16-year descriptive analysis [version 1; peer review: 1 approved, 1 approved with reservations]

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Abstract
Background: The Kilifi Health and Demographic Surveillance System (KHDSS) was established in 2000 to define the incidence and prevalence of local diseases and evaluate the impact of community-based interventions. KHDSS morbidity data have been reported comprehensively but mortality has not been described. This analysis describes mortality in the KHDSS over 16 years.

Methods: We calculated mortality rates from 2003–2018 in four intervals of equal duration and assessed differences in mortality across these intervals by age and sex. We calculated the period survival function and median survival using the Kaplan–Meier method and mean life expectancies using abridged life tables. We estimated trend and seasonality by decomposing a time series of monthly mortality rates. We used choropleth maps and random-effects Poisson regression to investigate geographical heterogeneity.

Results: Mortality declined by 36% overall between 2003–2018 and by 59% in children aged <5 years. Most of the decline occurred between 2003 and 2006. Among adults, the greatest decline (49%) was observed in those aged 15–54 years. Life expectancy at birth increased by 12 years. Females outlived males by 6 years. Seasonality was only evident in the 1–4 year age group in the first four years. Geographical
variation in mortality was ±10% of the median value and did not change over time.

**Conclusions:** Between 2003 and 2018, mortality among children and young adults has improved substantially. The steep decline in 2003–2006 followed by a much slower reduction thereafter suggests improvements in health and wellbeing have plateaued in the last 12 years. However, there is substantial inequality in mortality experience by geographical location.

**Keywords**
Child mortality, adult mortality, all-cause mortality, demography, demographic surveillance system, mortality trends, Kenya

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**Introduction**

A majority of low- and middle-income countries (LMICs), especially in sub-Saharan Africa (sSA), lack comprehensive civil registration and vital statistics’ systems (CRVS) necessary for monitoring mortality\(^1\). Tracking the progress in child and adult survival, therefore, relies on alternative data sources such as demographic and health surveys (DHS), national population censuses, and health and demographic surveillance systems (HDSSs). HDSSs are designed to monitor a small sub-population of a nation in a defined geographical area and are a commonly used resource for health and demographic research in LMICs.

The Kilifi Health and Demographic Surveillance System (KHDSS) was established in 2000 by the KEMRI–Wellcome Trust Research Programme (KWTRP) to monitor mortality and morbidity caused by common diseases and to provide a sampling frame for epidemiological studies\(^9\). The surveillance area was selected to capture at least 80% of patients admitted to the Kilifi County Hospital (KCH) and over the subsequent two decades, the platform has been used to describe morbidity in children and adults. This includes incidence of malaria\(^9\), pneumonia\(^9\), lower respiratory tract infections\(^9\), rotavirus\(^9\), malnutrition\(^9\), sickle cell disease\(^9\), epilepsy\(^9\) as well as the burden of mental health problems\(^9\), pregnancy-related disorders and chronic diseases that contribute substantially to overall mortality\(^9\). The KHDSS has also been used to evaluate the impact of new community-based interventions, such as vaccines and bed net use\(^9\).

Morbidity data have been reported comprehensively in Kilifi but mortality in this population has not been systematically described. In this paper, we describe mortality in children and adults over a 16-year period and analyse deaths by age, sex, season, geographical location, and temporal trend.

**Methods**

**Data source and setting**

The KHDSS surveillance area, which is located in Kilifi County, within the former Coast Province, is divided into 15 government administrative regions called locations, comprising a total of 891 km\(^2\) (Figure S1, Extended data\(^9\)). An initial census and mapping of the surveillance area was conducted in 2000 and was found to contain 189,148 residents in 20,978 households. Subsequently, the population has been continuously monitored for births, pregnancies, deaths, and migration events in re-enumeration rounds occurring approximately every 4 months, and the mapping was updated in 2017. At the end of 2018, there were 299,471 residents living in 41,536 households. From 2008, deaths registered in the KHDSS have also been investigated for the cause of death by verbal autopsy which has been reported separately\(^9\). KCH is located at the geographical centre of the KHDSS area and, during the study period, it was the only government facility offering inpatient care for the KHDSS population. A small number of private hospitals and lower-level facilities have a few inpatient beds.

The concept of the KHDSS is based on the INDEPTH (International Network for the Demographic Evaluation of Populations and Their Health) data model. Demographic and health data are collected at four points of contact: at re-enumeration when community interviewers make household visits to update the population register; at the inpatient wards of KCH where medical staff record patient history, clinical examination and outcome (death or discharge); at the maternity ward of KCH where staff record births and perinatal deaths; and in 34 vaccination clinics distributed across the surveillance area which collected data on childhood vaccination between 2008 and 2018. The eligibility for inclusion, the variables routinely measured, the structure of the KHDSS databases and the population structure have all been described previously\(^3\).

Initially, data collection during household visits was paper-based but switched to electronic data collection using tablets in 2016. The tablets are loaded daily with the most recent copy of the residents’ database and, after data collection, they are returned to the research unit where a two-way synchronization with the master database is performed. All other data collection points are linked in real time to the master database that has been specified using MySQL.

At re-enumeration rounds, information on all household members is sought from a single informant, usually a member of the household. If all household members are unavailable during the visit, information is obtained from neighbouring households. All field staff are debriefed on the quality of data collected after each enumeration cycle and re-trained where needed. The data collection applications are programmed with skip patterns and consistency checks to ensure mandatory information is collected. Additionally, within the database, there are built-in checks for missing or duplicated data.

To explore the accuracy of age data at the first census and among all new in-migrants, we calculated Whipple’s Index\(^22\). Whipple’s index measures the tendency for individuals to inaccurately report their age in rounded numbers, usually ending in 0 and 5, resulting in age heaping.

**Statistical analysis**

The analysis period, from 1 Jan 2003 to 31 Dec 2018, was stratified into four non-overlapping periods each lasting 4 years. We excluded 2000–2002 because of changes in the re-enumeration protocols designed to increase the ascertainment of deaths in neonates during these years. We used survival analysis and routine demographic life table methods to calculate mortality rates and life expectancy and examined seasonality, short- and long-term trends over the 16 years.

**Age–sex mortality profile.** The mortality rate was calculated as the number of deaths divided by person-years of observation (PYO). Entry to risk begins at the latest of birth, in-migration or study start date. Exit from risk is at the earliest of study end date, out-migration or death. If an out-migration is followed by an in-migration, the period between the out-migration and in-migration is excluded from the risk period to avoid survivor bias. The total PYO was computed for different age groups, sex, and locations.
We first assessed seasonality and long-term temporal trends for each age group by graphically reviewing a time series of monthly mortality rates. We then estimated trend and seasonality based on an STL (Seasonal and Trend decomposition) method and identified months with the highest and lowest mortality rates from the seasonal component.

Geographical heterogeneity in survival and mortality over time. We produced choropleth maps for overall and age-specific mortality rates in the four 4-year periods to investigate the geographical variation of mortality in the administrative locations over time. For overall mortality, we accounted for temporal differences in the population age-sex structure by direct standardization against the 2011 KHDSS age-sex structure. All the maps were created at the administrative location level.

We used the quantile method to create five mortality rate classes for map reading. This method places equal numbers of data units (death rates) in each class resulting in classes centred on the median death rate. For each age group, the quintiles are derived from the entire mortality rate range between 2003 and 2018 and the resulting classification is applied across each of the 4-year-period maps for that age group. The quantile method, though simple, has been shown to be the optimal classification method for displaying geographically varying data in series in a map reading experiment.

To assess geographical variation in mortality, for each period, we fitted a multi-level Poisson regression model adjusting for sex and age in which location was included as a random effect and used the variance of the random effect to quantify heterogeneity. We tested for between-location variation within each period using the likelihood ratio test and tested for temporal variation in mortality rates between the 2003–2006 period and each of the subsequent periods using a z-test. We also calculated the median age at death for each of the 15 administrative locations in the 4-year periods and assessed variation in life expectancy by location and time.

All analyses were conducted using STATA/IC version 15.1 (StataCorp College Station, Texas, USA, RRID:SCR_012763) and R version 4.1.0 (RRID:SCR_001905).

**Results**

**Age-sex profile**

The cohort consisted of 699,841 individuals of whom 125,587 (18%) were followed from birth. In total, we observed 22,207 deaths in 3,897,529 person-years. More than 95% of the information on residence and vital status was collected from respondents living in the same household. Females contributed 53% of the total PYO and 48% of deaths (Table 1). There was no indication of age heaping or misspecification of sex (Table S1, Extended data).

Mortality was highest in the first time period (2003–2006) compared with the other time periods for all ages except adults aged >54 years (Figure S2a, Extended data). The mortality rate in children aged <5 years declined by 44% between the periods 2003–2006 and 2007–2010, from 13.1 to 7.4 deaths per 1000 PYO, and continued to decline more slowly, reaching 5.4 in 2015–2018; we observed a similar pattern in all the finer age-strata of children aged <5 years (Table 2). Mortality ratios, per 1000 live births, for children aged <5 years, are presented in Table S2 (Extended data). Over the whole 16-year period mortality rates were lowest in children aged 5–14 years (Figure S2b, Extended data). In this age group, mortality declined from 1.4/1000 PYO in 2003–2006 to 0.8/1000 PYO in 2007–2010 (Table 2) and varied little thereafter. In adults, the steepest period-to-period decline (35%) was seen in the age group 15–54 years between the periods 2003–2006 and 2007–2010. Mortality changed very little over time for those aged ≥75 years. Overall, mortality was higher in males than females at all ages with differences being greater in adulthood than in childhood.

**Survival**

Median survival increased from 65 in 2003 to 77 in 2018. Females had higher survival throughout the study period and died, on average, 6 years later than males (Figure 1). The survival functions for males and females are similar until
approximately 40 years of age after which females experience better survival (Figure 2 A–D). The improvement in survival over time was also greater in females than males (Figure 2 E–F).

Mean life expectancy, estimated using the abridged life table method, is 4–8 years lower than Kaplan–Meier median survival estimates (Table S3, Extended data). Tables S4a to S4h (Extended data) show the sex-specific abridged life tables for each of the 4-year periods. The life expectancy estimates calculated using the MADIMAH technique differ little from those estimated using the standard technique (Tables S5a to S5h, Extended data).

### Seasonality and trend

**Figure 3a** shows the age-specific mortality rates by calendar month for each of the analysis periods. A seasonal pattern appears only in children aged 1–4 years in the first period. Table S6 (Extended data) shows the estimated high and low mortality months. The months with the highest mortality for neonates, children aged 29–365 days and those aged 1–4 years were February, June, and July respectively. For adults aged 15–74 and ≥75 years, high mortality months were June and August, respectively.

**Figure 3b** shows the trend in monthly mortality in three broad age groups. For children aged under five years, there was a steep decline in mortality from 2003 to 2008 followed by a gentle decline in the subsequent years. Within the same period, there was a similar pattern of decline in mortality rates in the older age groups. Figures S3a to S3g (Extended data) show the 16-year mortality trends in finer age strata for both children and adults.

### Table 1. Distribution of births, deaths, and person-years by sub-period.

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Table 2. Mortality rates per 1000 PYO by age stratum and time period.

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Figure 1. Median age at death based on the Kaplan–Meier method. Plot color represents sex with green and red indicating life expectancy in females and males respectively.
Figure 2. Kaplan–Meier survival curves for the KHDSS population by time period and sex. Plots A–F show the sex-specific survival curves and plots E and F show the period-specific survival curves.
Figure 3a. Seasonal mortality rates (by calendar month) by age groups and time period.
Figure 3b. Monthly mortality rates by age over the entire 16-year period. The black line represents the observed rates while the red line is the estimated trend.
Geographical heterogeneity in survival and mortality

The largest span in median survival between locations was 7 years (highest in Gede, lowest in Junju and Tezo) in the period 2003–06 (Table S7, Extended data). The span between highest and lowest location was reduced by 1 year in each of the subsequent 4-year study periods. Gains in life expectancy varied by location with one location (Sokoke) gaining 10 years after the first period and another location (Matsangoni) remaining unchanged. Changes in life expectancy between the periods 2003–2006 and 2007–2010 were greater in magnitude in comparison to any other two periods.

Figure 4 shows the geographical distribution of the age-standardized mortality rates for each population in the 15 locations over time. Overall mortality declined in all the locations but for those aged 55 years the decline was slow in some locations. (Figure S4a and S4b, Extended data). Table 3 summarizes the geographical variation in mortality. After accounting for age and sex, variation was greatest in the period 2003–2006 with 95% of the location-specific rates lying within 16% of the median rate in this period. In the periods 2007–2010 and 2011–2014, we observed a decrease in variation with 95% of the location-specific rates lying within 9% of the median rate in both periods followed by an increase in 2015–2018 at 14%. Between-location variation was significant in the 2003–2006 and any of the subsequent periods. The output from the random effects Poisson regression model is summarized in Table S8 (Extended data).

Discussion

In the Kilifi Health and Demographic Surveillance System, overall mortality rates declined steeply in the first four years of the study period in all age-sex groups, except in older adults, and then declined much more slowly in the subsequent 12 years. Neonatal and under-5 years mortality rates declined by 48% and 59%, respectively, between the first period and the last. The mortality reduction observed between the first and subsequent 4-year time periods was greatest among those aged 1–4 years. Median survival was greater in women, by 6 years compared with men, and increased in both sexes by approximately 12 years during the study period. Seasonal effects on mortality were only evident in children aged 1–4 years and only in the first 4-year period. Finally, location-specific mortality varied from the median value by ±10%, which represents an important inequality. There was no evidence that this variation has improved over time.

In LMICs, adult mortality has been characterized by higher rates in females between 15–34 years because of deaths related to childbirth and possibly an earlier age of infection by HIV (The Gap Report 2014). We observed this phenomenon in the first 4 years of the study period, but it was later reversed with men being at a greater risk of dying (Figure S5, Extended data). This pattern is consistent with mortality sex ratios before and after the rapid initiation of HIV care and antiretroviral therapy in LMICs between 2003 and 2018 with evidence showing that women have benefited more from the expansion of HIV treatment programs than men (Harvard Health Blog, BBC, The Conversation) and may be related, in part, to the cardioprotective effect of oestrogen. Following menopause, oestrogen levels decline, and women become susceptible to cardiovascular disease. In Kilifi between 2007 and 2012, the incidence of admission to hospital with circulatory system diseases among...
Table 3. Variation of location-specific log<sub>e</sub> mortality rates (per 1000 PYO) adjusted by age and sex.

<table>
<thead>
<tr>
<th>Period</th>
<th>SD of log rates&lt;sup&gt;a&lt;/sup&gt;</th>
<th>95% normal approximation&lt;sup&gt;b&lt;/sup&gt;</th>
<th>P-value (LR test of between-location variation)&lt;sup&gt;c&lt;/sup&gt;</th>
<th>Differences between SDs&lt;sup&gt;d&lt;/sup&gt;</th>
<th>P-value (z-test for equality of SD)&lt;sup&gt;e&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>2003–06</td>
<td>0.0778</td>
<td>1.16</td>
<td>&lt;0.001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2007–10</td>
<td>0.0444</td>
<td>1.09</td>
<td>0.072</td>
<td>0.033</td>
<td>0.267</td>
</tr>
<tr>
<td>2011–14</td>
<td>0.0433</td>
<td>1.09</td>
<td>0.067</td>
<td>0.034</td>
<td>0.246</td>
</tr>
<tr>
<td>2015–18</td>
<td>0.0670</td>
<td>1.14</td>
<td>0.002</td>
<td>0.011</td>
<td>0.715</td>
</tr>
<tr>
<td>2003–18</td>
<td>0.0498</td>
<td>1.10</td>
<td>&lt;0.001</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Brief description of the analysis: SD of log rates are derived from a random effect Poisson regression model with administrative location included as the random effect. Geographical variation is quantified via SD of log rates, which is the square root of the variance of the random effect.

<sup>a</sup> Standard deviation - geographical (based on 15 administrative locations) variation in mortality rates. Calculated as the square root of the variance of the random effect.

<sup>b</sup> Approximation of the interval, about the overall median rate, that contains 95% of location-specific rates. Based on the normal distribution assumption that 95% of observations fall within 1.96 standard deviations of the median. Calculated as exp(SD of log rates *1.96). An example of the interpretation; in the period 2003–06, 95% of location-specific rates were within 16% of the median rate.

<sup>c</sup> Likelihood ratio test comparing the fitted random effects model with that of a conventional Poisson model that does not include the random effect. Null hypothesis: no between-location variation in mortality rates.

<sup>d</sup> Difference in SD between 2003-06 and subsequent periods.

<sup>e</sup> P-value from test of equality of SDs between 2003–06 and subsequent periods.

Adults >35 years old was higher in men than women<sup>33</sup> and the mortality fraction due to cardiovascular disease among those aged ≥65 years was marginally higher in men compared to women<sup>21</sup>.

Sub-national variation in child mortality, which is driven in part by inequitable distribution of health services and interventions, is a common observation across SSA<sup>35–39</sup>. In Kilifi, the magnitude of the overall variation can be understood as meaning that one location (5% of all locations) experienced a mortality rate that lies beyond ±10% of the average mortality rate. The magnitude of variation did not change significantly over time, which suggests sustained inequitable distribution in public health services and access to healthcare if we consider geographical variation in mortality as the measure of equity.

The decline in child mortality in Kilifi is consistent with independent observations over an extended period (Table S9, Extended data<sup>20</sup>). DHS data from Coast Province, which included Kilifi County, showed a reduction in child deaths (<5 years), per 1000 live births, from 116 to 57 between 1993 and 2013. Where the two studies overlap in time (2004–2013), the DHS mortality ratios are higher than the KHDSS estimates; for example, the infant mortality ratios (IMR) are 31 in KHDSS and 44 in the Coastal DHS whilst the under-5 years mortality ratios (U5MR) are 46 and 57, respectively. The IMR estimated from the national census in 2009 was 42 per 1000 live births in Kilifi County for the 12 months preceding the census; the equivalent figure from KHDSS in 2008 was 25. For the U5MR the national census and KHDSS estimates were 57 and 38, respectively, per 1000 live births. Both the DHS and census rely on cross-sectional surveys and recall methods for death ascertainment whereas the KHDSS measures mortality directly from a cohort. Paradoxically, the methods that are dependent on potentially unreliable recall provide higher estimates of the number of deaths. The differences between the two methods are more likely to be driven by different definitions of their target populations. DHS and census methods capture all residents observed at one point in time within the geographical locale; some of these may not meet the residence requirement of the HDSS cohort. These requirements include that they are, or intend to be, resident in this household for at least 3 months. Furthermore, the DHS covers the whole of Coast Province, which has four counties in addition to Kilifi and, even within Kilifi, the KHDSS is a sub-population (approximately 40%) of the county. The overall numbers of child mortality from the HDSS, DHS and census datasets may be different but the trends are consistent with each other and are also consistent with an analysis of multiple disparate datasets that shows a decline in child mortality beginning as far back as 1965<sup>35</sup>.

This descriptive analysis lays out the baseline trends in mortality in Kilifi over time. Several additional data sources may help to explore the underlying causes of these trends and...
geographic patterns. Within KHDSS there are data on the changing morbidity experience of residents from hospital records over the same period; for example, the incidence of admission to hospital with malaria declined sharply between 2003–2006. Similar declines in LMICs have largely been attributed to reductions in malaria transmission following a high coverage of control measures. The declining trend in malaria admissions in Kilifi is similar to the all-cause child mortality trend we have observed. Previous studies have reported a substantial indirect contribution of malaria to all-cause child mortality. A study in this population found that malaria infection strongly predisposes individuals to bacteraemia, a major cause of childhood death, and could account for at least 50% of all bacteraemia cases in children. The geographical heterogeneity in mortality (Figure 4) appears similar to the geographical heterogeneity in malaria, as has been observed with national heterogeneity in malaria and mortality. In Kilifi, we observed a significant reduction in childhood morbidity after the introduction of Haemophilus influenzae type b conjugate vaccine in 2001, 10-valent pneumococcal conjugate vaccine in 2011 and rotavirus vaccine in 2014 but their effects on mortality have not yet been established. Finally, verbal autopsy data are also available to explore changes in causes of death over time. The integration of these sources of data is beyond the scope of the present analysis.

Between 2003 and 2018, Kenya has experienced average GDP growth of 5.24% per annum and a population growth of 2.63% per annum (World Bank National Accounts Data). The area of KHDSS is typical of much of sub-Saharan Africa, being largely rural with a central town of approximately 43,000 residents (14% of the KHDSS). The detailed surveillance reported here over 16 years in KHDSS illustrates a clear improvement in mortality rates at all ages below 75 years but the pace of improvement has declined markedly over time and the geographical distribution of mortality rates is not homogeneous. These findings highlight opportunities for intervention and improvement across a wide range of health, social and economic domains.

List of abbreviations
LMICs: Low- and Middle-Income Countries
sSA: sub-Saharan Africa
CRVS: Civil Registration and Vital Statistics Systems
DHS: Demographic and Health Surveys
HDSSs: Health and Demographic Surveillance Systems
KWTRP: KEMRI-Wellcome Trust Research Programme
KHDSS: Kilifi Health and Demographic Surveillance System
KCH: Kilifi County Hospital
INDEPTH: International Network for the Demographic Evaluation of Populations and Their Health
MySQL: Structured query language

Authors’ contributions
Conceptualization: JAGS, KM, TNW and EB. Data collection and preparation: MO, EB, AN, DA, CN, ET, DW, MW, NK, MK, GN. Analysis: MO, CB, JAGS Interpretation: MO, JAGS, CB, AN, AD, JM, AA, BT, PB, KM, JM, EB, TNW. Drafting the manuscript: MO, JAGS, CB, PB. Resources and funding acquisition: PB, JAGS, TNW, KM, BT, AN. All authors critically reviewed the article and approved the final version for submission.

Acknowledgements
We gratefully thank the residents of Kilifi who have participated in the surveillance activities of the KHDSS. We acknowledge the tremendous work of the census field staff and data supervisors who collect and process this information and the Community Liaison Group who run the community engagement programmes. We would particularly like to acknowledge the important contributions of Victoria Nyaga and John Ojal (former HDSS statisticians). This article is published with the permission of the Director of the Kenya Medical Research Institute.

Consent for publication
Not applicable

Data Availability
Underlying individual data include geo-located residence, date of birth and migration data and hence would be high risk for identifiability. Intermediary data has been published on the Havard dataverse server under a CCBY 4.0 license https://doi.org/10.7910/DVN/DDX7OK20.

Declaration of competing interests
None.

References
References


9. Agyepong IA, Swaroop V, et al.: The epidemiology of sickle cell disease in children recruited in infancy in Kilifi, Kenya: a prospective cohort study. Lancet Glob Health. The Author(s), Published by Elsevier Ltd. This is an Open Access article under the CC BY 4.0 license. 2015; 7(10): e1652–e1666. Publisher Abstract


43. Rowe AK, Rowe SY, Snow RW, et al.: The burden of malaria mortality among...


Mortality in rural coastal Kenya measured using the Kilifi Health and Demographic Surveillance System: a 16-year descriptive analysis

The authors claim the paper to be the first attempt ever to systematically characterise general mortality in the Kilifi HDSS since it was established in 2000. Being an area that has hosted a significant number of important trials and health interventions of the KEMRI-Wellcome Trust Research Programme, and with access to tertiary health care through the Kilifi County Hospital, a systematic description of levels and trends in all-cause mortality in the area is necessary and relevant; and the attempt is therefore justified.

Whilst the paper is generally well-written, it can be enhanced further both in terms of content and presentation as indicated in the following queries.

GENERAL QUERIES

1. The frequent reference made to supplementary tables and figures in the paper makes reading it a rather arduous task. If tables and figures are important enough to be presented as part of the results, then they should appear in the paper accordingly. The authors should therefore decide what information to include in the paper and how they should be lucidly presented to enhance clarity and ease comprehension.

2. None of the mortality rates estimated and shown in the tables and graphs are presented with Confidence Intervals (95% CI). It is recommended that these be provided for all indicator estimates presented in tables, and as CI bars in levels/trends graphs. Not only will they add value to the results of the study but will also enable the reader to make inferences/deductions from the presented results beyond what the authors have reported, especially – for example – with respect to confirming statistically significant differences between indicators for different time periods.

3. I observe the interchangeable use of the terms “rates” and “ratios”. Since mortality
indicators are conventionally estimated as rates, I will suggest that the term “rates” be used consistently in the paper.

4. Too many methods are used to characterise mortality for the first time in the Kilifi HDSS area. The purpose of the paper is mortality estimation and validation of the KHDSS data, and not comparison of methods using the same dataset. Therefore, it may be worthwhile to adopt the conventional methods of mortality estimation to effectively describe the characteristics of the region’s mortality experience for the stated period; as well as facilitate easy comparison with estimates from other data sources, and for other regions in Kenya. For instance, comparisons of life expectancy estimates from the Life Table method with similar estimates from census or DHS sources provides more analytical value in assessing the quality of the KHDSS data than comparing them with Kaplan-Meier-derived mean life expectancies from the same dataset. The Life Table method also provides the opportunity to cross-check and validate derived age-specific mortality rates.

**SPECIFIC QUERIES**

1. *Page 4, left column, fifth para*: Remove the word “survival” from the sub-title. It can be used interchangeably with “mortality” and the choropleth maps refer to mortality rates over time.

2. *Page 4, left column, fifth para*: Provide a justification for applying the direct standardization of the populations against the 2011 KHDSS age structure. If the idea is to use the mid-period age structure for this purpose, then that of 1st January 2013 should be used instead.

3. *Pages 5 & 6*: Consider merging Tables 1 and 2 to provide comprehensive information on births, deaths, PYO and corresponding mortality rates (with 95% CI) by age-group and period. The childhood mortality estimates (i.e. neonatal, post-neonatal, infant [<1 yr]), child [1-4 yrs] and under-5) can be expressed in both per 1,000 PYO and per 1,000 live births where applicable. The authors are urged to reconsider the method used in estimating the childhood mortality rates presented in Table S2. These rates should be equivalent to the probabilities of dying within the respective age brackets, and can be estimated more accurately using the Lexis Diagram method, for instance. The under-5 mortality rate should not be the sum of the infant mortality (<1) and child mortality (1-4), but rather the complement of the product of the probability of surviving the first year of life and the probability of surviving to exact age 5 thereafter. The authors should therefore review their method of analysis to provide accurate measures of childhood mortality indicators. In fact, the mortality rate for children aged 1-4 years cannot be expressed in “per 1,000 live births”, because it refers to a population defined by having already survived the first year of life.

4. *Page 5, left column, last para*: The statement that a seasonal pattern appears only in children aged 1-4 years in the period 2003-2006 is speculative. Whether it is real or a data artefact can be ascertained by adopting more robust statistical analysis. An inclusion of 95% CI bars in Figure 3 would have enabled the reader to make an inference to confirm or dismiss such a seasonal mortality pattern claim.

5. *Page 10, right column, second para*: The explanation linking oestrogen levels and female life expectancy is beyond the scope of the paper and should be removed.

6. Whilst sufficient comparison is done between KHDSS childhood mortality indicators with estimates from other sources and regions, not much similar comparison is attempted for
adult mortality.

**Is the work clearly and accurately presented and does it cite the current literature?**
Partly

**Is the study design appropriate and is the work technically sound?**
Partly

**Are sufficient details of methods and analysis provided to allow replication by others?**
Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**
Partly

**Are all the source data underlying the results available to ensure full reproducibility?**
Yes

**Are the conclusions drawn adequately supported by the results?**
Partly

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Demography

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Reviewer Report 16 December 2021

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Stephen Gordon

1 Department of Clinical Sciences, Liverpool School of Tropical Medicine, Liverpool, UK
2 Malawi Liverpool Wellcome Programme, Blantyre, Malawi

General comments: Elegant, detailed and clear account of a large well conducted study. Many high quality publications have emerged from the Kilifi DHSS which has become a model for many others.

Specific comments
Title and Abstract are clear. The abstract would be improved by including the size of the dataset.
Introduction: Clear, but could be improved by a little bit of geography to explain the terrain, who the people are, a bit more colour!

Methods: Clear, and a good explanation of how to deal with in- and out-migration.

Results: 22207 deaths and 3.9m pyo is a very big study. It is good to see such a precise data set to support other data based on less solid observations. I note the lack of seasonality, which is different from that published from Gambia. Would you like to comment? Geographical variation is found everywhere (e.g. in UK) and often relates to poverty. Could you look at poverty, clean water or distance to health facilities in your analysis?

Discussion: Nice discussion of HIV, vaccination and gender. I’m curious about the north/south divide that has emerged across the creek with the north showing more improvement. Can you comment? Good comparison with other data sources and explanation of differences.

Figures: elegant and helpful.

Is the work clearly and accurately presented and does it cite the current literature? 
Yes

Is the study design appropriate and is the work technically sound? 
Yes

Are sufficient details of methods and analysis provided to allow replication by others? 
Yes

If applicable, is the statistical analysis and its interpretation appropriate? 
I cannot comment. A qualified statistician is required.

Are all the source data underlying the results available to ensure full reproducibility? 
Yes

Are the conclusions drawn adequately supported by the results? 
Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Respiratory Medicine and general internal medicine, work in Low Income Countries.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.