STUDY PROTOCOL

The management of acute myocardial infarction in the Russian Federation: protocol for a study of patient pathways [version 1; referees: 2 approved with reservations]

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Abstract

Background: Death rates from cardiovascular disease in Russia are among the highest in the world. In recent years, the Russian government has invested substantially in the healthcare system, with a particular focus on improving access to advanced technology, especially for acute myocardial infarction (AMI). This protocol describes a study to understand the management of AMI in different Russian regions, investigating the role of patient, clinical, and health system characteristics.

Methods: A prospective observational study has recruited a representative sample of AMI patients from 16 hospitals in 13 regions across Russia. Criteria for inclusion are being aged 35-70 years with a confirmed diagnosis of AMI and surviving until the day after admission. Information being collected includes
health system contacts and features of clinical management prior to the event and in the 12 months following discharge from hospital. Following initial exploration of the data to generate hypotheses, multilevel modelling will be applied to assess the role of these characteristics in both treatment decisions and any delays in time critical interventions. Between June 2015 and August 2016, 1,122 patients have been recruited at baseline and follow-up to 12 months post-discharge is scheduled to be completed by autumn 2017. The study is unique in examining patient factors, clinical management prior to admission and in hospital in the acute phase and throughout the critical first year of recovery across a diverse range of geographies and facilities. It uses standardized instruments to collect data from patients and health care providers and includes regions that are diverse in terms of geography and development of cardiology capacity. However, given the limited health services research capacity in the Russian Federation, it was not possible to obtain a sample that was truly nationally representative.

Keywords
myocardial infarction, health seeking behaviour, health services research, patient pathways,
**Introduction**

The Russian Federation has one of the highest burdens of cardiovascular disease (CVD) in the world\(^1\), an important cause of its low life expectancy at birth, only 70.5 years in 2015 according to the World Health Organization. There are many potential reasons for the very high CVD rates, spanning the entire causal pathway, from underlying social determinants of health\(^2\) through proximal risk factors such as smoking, diet, hazardous alcohol consumption\(^1\), to weaknesses in the health care system\(^3\). Consequently, a comprehensive response requires actions at all levels. In this study, we focus our attention on the contribution of the health system.

Although the Soviet Union initially placed a high priority on health, a combination of economic weakness\(^4\), isolation from international developments\(^5\), and the considerable challenges of delivering modern healthcare to a vast country, meant that the system inherited by the newly independent Russian Federation lagged behind that in many western countries. The scale of the challenge was illustrated by a study that compared levels of mortality amenable to health care, which showed that the sustained improvements seen in the west after the mid-1960s were not achieved in the Soviet Union\(^6\).

Although the inherited system was extensive and well-staffed in comparison to other middle-income countries, it struggled initially to adopt the rapid advances that were taking place in medical science, especially in areas such as the management of acute myocardial infarction(AMI), which elsewhere was being transformed by the introduction of thrombolysis and percutaneous transluminal coronary angioplasty (PTCA)\(^7\).

These concerns attracted official recognition in 2005 when a national priority project was announced to improve population health\(^8\). This had several elements, including better access to high-quality health care, a renewed emphasis on prevention in the health care system, strengthened primary care, and greater provision of advanced medical technology. A further goal to reduce mortality from cardiovascular diseases was added in 2008\(^9\). This was supported by greater funding for salaries of health professionals and new equipment. Forty-five percent of the additional funding was allocated to advanced medical technology\(^8\). This has been associated with a marked increase in utilisation of such technology, including PTCA. Yet it is recognised that that outcomes of myocardial infarction still lag behind those in Western countries, although the reasons are not fully understood.

Previous research has used data from a large federal registry, recording details of patients presenting with acute coronary syndrome (ACS) to a network of participating hospitals\(^11\)\(^12\). However, while providing some valuable insights, results have been limited as data are collected only on the management of patients in hospital, while it is now recognised that pre- and post-hospital care, including early thrombolysis and secondary prevention also play important roles in reducing mortality\(^11\).

There is a clear need to document in detail the management of patients presenting with AMI across Russia. Information is needed on the entire patient experience, from onset of symptoms, through to the phase of acute care to the long-term management and treatment they receive once they have been discharged. This should describe how they are treated, by whom, and whether there are any delays in obtaining treatment, especially at those points in the patient journey that are time-critical events. It should assess whether the treatment provided complies with accepted good practice and assess where problems are found, and identify plausible reasons that can be addressed by changes to policy and practice. Here we present the protocol for a study we are undertaking that does this in hospitals across diverse regions of the Russian Federation. This is part of a large international project seeking to understand the reasons for the high levels and poor outcomes of CVD in Russia.

**Methods and study design**

**Objectives**

a) To describe current treatment of AMI in different regions of Russia and in different types of medical facilities accepting patients with AMI, comparing observed practice with that recommended in Russian and European guidelines so as to identify barriers to effective treatment and continuity of care at different stages of the patient journey - prior to admission, within the hospital, and following discharge to polyclinic cardiologists and general physicians.

b) To describe and, where possible, explain differences in management of patients defined by gender, socio-economic position, and distance from facilities.

c) To propose changes to policy and practice that will remove barriers to effective and timely treatment for all.

The study is, to our knowledge, the first ever study in different parts of Russia describing the pathway followed by patients with AMI. It is thus primarily hypothesis generating, although some testing will be possible. It is observational and seeks to recruit a representative sample of patients presenting with AMI at 16 hospitals in 13 Russian regions and who survive at least until the morning after admission. Data are collected on both the index admission and any encounters with the health system in the preceding 12 months and follow up at 6 and 12 months after discharge.

**Study population and recruitment**

The target population is men and women aged 35–70 years, admitted to a hospital or cardiology centre with a presumed diagnosis of AMI that is subsequently confirmed and who survive until the day following admission, including those who subsequently die in hospital and those who are discharged alive. The age range was selected for consistency with a major population-based study which we are also conducting looking at aetiological factors and treatment in the Russian cities of Arkhangelsk and Novosibirsk. As noted above, current life expectancy at birth (both sexes combined) in Russia is 70.5 years.

As this study seeks to capture the actual management of patients diagnosed as having an AMI, we have not imposed uniform diagnostic eligibility criteria. Instead we accepted the criteria for AMI used in each centre. These nevertheless all included standard ECG changes and cardiac enzymes (creatine phosphokinase as minimum). Where they differ was in the use of troponin assays, with the precise version varying. In analyses of the completed dataset,
we will explore the extent to which any observed differences in diagnostic criteria impact on which patients are offered treatment, taking as our reference the most inclusive criteria observed in any facility.

The overall inclusion and exclusion criteria are summarized in Box 1.

For each facility, recruitment was staggered over the course of 6–9 months. Data are collected by staff and medical students in each centre. However, they have limited time available to do this work, so it was determined that it was feasible for a maximum of 1 patient each day to be recruited. The study timeline is presented in Box 2.

In order to recruit as representative sample of AMI cases as possible, the following procedure was used. Within the recruitment period, a list of random dates and times was generated by the central study coordination team, constrained so that, for each facility, none could occur on the same day. On a daily basis, in each facility, the list of all patients admitted during the previous day with a confirmed diagnosis of AMI was compiled. The patient to be recruited was selected from this list as the first to be admitted following the randomly selected date and time. If this patient could not be recruited, the next patient in order of admission was approached until for that day a patient was enrolled in the study. The recruitment process is summarised in Figure 1.

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**Box 1. Inclusion and exclusion criteria**

**Inclusion criteria**
- Acute admissions with a presumed diagnosis of AMI subsequently confirmed by the morning after admission.
- Age 35–70, male or female
- Living in the oblast/republic in which the hospital is situated (to enable access to previous medical records)
- Survived until the day after admission

**Exclusion criteria**
- Participating in clinical trials where this is known at the moment of inclusion, as they are likely to be receiving atypical treatment.
- Patients, referred from another facility if they have already spent more than 24 hours in it.
- AMI occurring in hospital following a surgical procedure

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**Box 2. Study timeline**

<table>
<thead>
<tr>
<th>Study stage</th>
<th>Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Recruitment to the study/</td>
<td>June 2015–August 2016</td>
</tr>
<tr>
<td>hospital stage</td>
<td></td>
</tr>
<tr>
<td>6 months follow up</td>
<td>December 2015–February 2017</td>
</tr>
<tr>
<td>12 months follow up</td>
<td>July 2016–September 2017</td>
</tr>
<tr>
<td>Data analysis and publications</td>
<td>October 2017–February 2019</td>
</tr>
</tbody>
</table>

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**Figure 1. Recruitment procedure used in the study of management of acute myocardial infarction in Russian Federation.**
When approached by the study team, a patient was given a verbal explanation of the study, including the importance of follow up, and given an information leaflet. Signed informed consent was sought.

Selection and characteristics of facilities

Patients have been recruited from hospitals in 13 regions across the Russian Federation. Ideally, we would have employed a large, randomly selected sample of facilities but this was not practical as infrastructure for undertaking such research in Russia, including clinicians with relevant research skills, is limited. Consequently, it was necessary to draw a convenience sample, identifying clinicians willing to participate. It is recognised that the settings cannot be entirely representative of the country as the study centres are mainly from European part of the Russian Federation, with few from Siberia. In particular, two are from some of the wealthiest regions, benefiting from large oil and gas reserves, but with a sparse population. However, judged in terms of penetration of advanced treatment, in this case the rate of PTCA per 100,000 population, these regions span almost the entire range seen in the country (Supplementary File 1). We explicitly included some small facilities, even though they have limited capacity to intervene. We considered this important to capture as much of the spectrum of treatment, as experienced by patients, as possible. The names and locations of participating centres are shown in Figure 2.

A standardized form was completed describing basic information on capacity and activity in each facility. In most cases, the study included a single facility in each region. The exceptions are Samara region (3 facilities) and Tver (2 facilities). Five facilities (31.3%) serve cities (municipal hospitals) and 11 (68.8%) are regional facilities. Half are specialized cardiology hospitals and half are general hospitals with cardiology departments.

All facilities can measure troponin or CK-MB measurements at all times of the day and night. Among them, 10 (62.5%) measure troponin I, 5 (31.3%) Troponin T, 5 (31.3%) high sensitivity Troponin I, 2 (12.5%) high sensitivity Troponin T and 13 (81.3%) CK-MB. Echo facilities are available 24 hours and 7 days per week in 9 facilities (56.3%) and in working hours only, Monday-Friday in 7 (43.8%).

Twelve (75%) of the facilities can perform PTCA, all 24 hours a day; the remaining four are two small municipal hospitals in Samara, the regional cardiology hospital in Bryansk and one of the hospitals in Tver. The mean monthly number of PTCA procedures per interventional cardiologist is 39 (minimum 3, maximum 170).

Nine of the facilities include a rehabilitation department on the same site. All others use separate sanatoria.

Table 1 reports data on activity in the 13 facilities that could provide information, derived from returns of the hospitals to the Federal Ministry of Health. As is apparent, there is considerable variation in levels of activity and patient characteristics and outcomes. For example, the proportion of patients with AMI admitted within 24 hours of symptoms varies from 28.4% to 92.5%.
Table 1. Treatment characteristics of clinics from 13 regions for 2015, from official statistical forms – Form 14 “Data on hospital performance”.

<table>
<thead>
<tr>
<th>Clinic</th>
<th>Patients with AMI hospitalized in first 24 hours from symptom onset</th>
<th>In-hospital mortality of patients with AMI</th>
<th>In-hospital mortality of patients with AMI in first 24 hours</th>
<th>Percentage of patients undergoing PTCA</th>
<th>Percentage of patients received thrombolysis</th>
</tr>
</thead>
<tbody>
<tr>
<td>City hospital N 1, Archangelsk</td>
<td>67.6%</td>
<td>10.9%</td>
<td>4.6%</td>
<td>61.3%</td>
<td>8.2%</td>
</tr>
<tr>
<td>Altay regional cardiology hospital, Barnaul</td>
<td>68.4%</td>
<td>8.1%</td>
<td>5.7%</td>
<td>82%</td>
<td>44.5%</td>
</tr>
<tr>
<td>Belgorod regional hospital</td>
<td>75.8%</td>
<td>2.4%</td>
<td>0.4%</td>
<td>87.8%</td>
<td>12.2%</td>
</tr>
<tr>
<td>Bryansk cardiology clinic</td>
<td>45.3%</td>
<td>14.6%</td>
<td>7.9%</td>
<td>0</td>
<td>4.3%</td>
</tr>
<tr>
<td>Kazan interregional clinic center</td>
<td>81.4%</td>
<td>4.7%</td>
<td>4.7%</td>
<td>81.4%</td>
<td>1.6%</td>
</tr>
<tr>
<td>Kemerovo cardiology clinic</td>
<td>65.0%</td>
<td>9.5%</td>
<td>4.8%</td>
<td>68.1%</td>
<td>3.9%</td>
</tr>
<tr>
<td>Perm city clinic N 4</td>
<td>83.6%</td>
<td>9.1%</td>
<td>3.5%</td>
<td>66.7%</td>
<td>8.0%</td>
</tr>
<tr>
<td>Emergency city hospital, Rostov-on-Don</td>
<td>28.4%</td>
<td>10.2%</td>
<td>6.1%</td>
<td>20.5%</td>
<td>8.5%</td>
</tr>
<tr>
<td>Samara regional cardiology clinic</td>
<td>92.5%</td>
<td>8.4%</td>
<td>4.8%</td>
<td>43.3%</td>
<td>10.3%</td>
</tr>
<tr>
<td>Tver regional hospital</td>
<td>50.0%</td>
<td>4.8%</td>
<td>2.3%</td>
<td>78.5%</td>
<td>25.0%</td>
</tr>
<tr>
<td>Tver city hospital</td>
<td>66.1%</td>
<td>9.1%</td>
<td>6.0%</td>
<td>0</td>
<td>24.5%</td>
</tr>
<tr>
<td>Tuymen regional hospital</td>
<td>88.7%</td>
<td>12.4%</td>
<td>4.4%</td>
<td>64.1%</td>
<td>12.0%</td>
</tr>
<tr>
<td>Khanty-Mansiysk regional hospital</td>
<td>91.8%</td>
<td>6.0%</td>
<td>0.5%</td>
<td>62.5%</td>
<td>2.7%</td>
</tr>
</tbody>
</table>

Data collection
Data are collected from patient interviews at three points: during index admission, at 6 months and at 12 months following discharge. Information is also extracted from medical records with respect to the index admission and contacts with the health system in the 12 month period preceding admission and following discharge, at both the hospital and the polyclinic that the patient attends (Figure 3). All deaths reported during the 12 months of follow up are verified.

The information collected is designed to shed light on a series of decisions made by both patient (and their family) and health care providers, along the clinical pathway. These decision points arise at every stage of the patient journey, from when the patient develops symptoms, such as whether they recognize the need to seek help, to the decision by the physician responsible for follow up to prescribe secondary prevention medication.

Management
The co-ordinator at each site, a practicing cardiologist, is responsible for oversight of the project and recruiting a team of interviewers, who are provided with written guidance on the conduct of the study and have received training, co-ordinated from Moscow, via Skype. Most of the data are being entered into a specially designed template created in Microsoft on Access™. However, the 12 month data are being entered using a bespoke data entry interface based on SURVANT [http://www.survant.net]. The data are checked by the study co-ordinator in Moscow. Data analysis will be conducted using the Statistical Package for the Social Sciences (SPSS).

Piloting
The study was piloted in 3 study centres in the spring of 2015, involving all stages of the study. In each centre, a group of 5 patients who were at that point in hospital and two groups of 5 patients each who had been hospitalised in a designated week 6 and 12 months previously were included. For these subjects, questionnaires were completed as was abstracting of medical records. The pilot results were used to refine the study documentation and standard operating procedures. Patients included in the pilots were not included in the main study.

Patient interviews
Participants are interviewed three times in person: during the index hospitalization and at 6 and 12 months later. A core data set comprises socio-demographic data, an account of events in the period between onset of symptoms and admission, including signs and symptoms, health seeking behaviour, and treatment received (Box 3). The questionnaires are available as Supplementary File 2 and Supplementary File 3. As far as possible, questions were consistent with those used in previous studies in Russia, such as the Health in Times of Transition (HITT) project[14], the Izhevsk Family Study[15], and the Russian Longitudinal Monitoring Survey[16]. Other survey instruments were drafted in English and translated into Russian.
Figure 3. Overview of the study design of management of acute myocardial infarction in Russian Federation.

### Box 3. Data items collected at each stage

#### Components of initial in-hospital interview
- Socio-economic status (education, marital status, employment, economic status)
- Characteristics and date and time of onset of initial symptoms
- Patient actions after appearance of initial symptoms, including health seeking behaviour and any delays
- Patient-reported knowledge of their medical history (e.g. hypertension and cholesterol levels, history of previous cardiac problems), visits to physicians during the previous 12 months and actions taken (BP and cholesterol measurement etc.)
- Key behavioural risk factors (smoking and alcohol)
- Experience of counselling on risk factors
- Medications prescribed before hospitalization
- Patient contact details (phone, mobile, email, address)

#### Components of follow-up interviews and medical record extraction
- Employment and welfare status
- Any type of rehabilitation (sanatorium, polyclinic etc.), its duration and content (only asked at 6 months)
- Control of BP and cholesterol levels, experience of cardiac symptoms
- Frequency of physician consultations since discharge
- Diagnostic procedures since discharge
- Changes in smoking and alcohol habits
- Experience of counselling on risk factors
- Medications: names, doses, and frequencies.
- Changes of medications and reasons for doing so
- Availability of medications: receiving free, or paying own money, reasons for paying money
- Adherence to medicines

### Information extracted from medical records

- How the patient arrived at hospital and how long it took
- Date and time of onset of initial symptoms
- ECG results
- Troponin assay (whether conducted and results)
- Other investigations undertaken (including results), treatment provided following admission
- Revascularization procedures characteristics: type, date and time
- Blood pressure on admission
- Lipid profile and other laboratory tests
- Prior medical history
- Recommendations for follow up sent to polyclinic cardiologists at discharge

### Information extracted from polyclinic medical records

#### Twelve months prior to admission
- Medical history and treatment as it relates to cardiovascular disease
- Consultations with primary care physician in 12 months prior to admission: number and content
- Blood pressure and cholesterol measurements
- Recommendations given in relation to cardiovascular risk factors, including lifestyle modifications and medications

#### Twelve months following admission
- Treatment recommended by general practitioner, comparison with recommendation from hospital and cardiologist, changes and reasons for changing (if recorded)
- Rehabilitation
- BP and cholesterol measurement
- Risk factors consultations etc.
Follow-up interviews at 6 and 12 months focus on self-reported treatment, medication, and contact with health services (Box 3). As in all surveys, there is a trade-off between the length of the questionnaire, and thus the amount of data obtained, and the risk of respondent fatigue. Thus, while there are many instruments for assessing adherence to medicines, looking at patterns of adherence, reasons for non-adherence, and barriers to adherence97, during piloting it became clear that an abbreviated set of questions was necessary. The single question “In the past month, how often did you take your medications as the doctor prescribed?” was used as it has been found to predict future cardiovascular events98. This was supplemented with questions to identify reasons for non-adherence, including cost, belief that medicines are ineffective, and concerns about side effects, as well as forgetfulness. Questions on medications being taken were open, including the name of the medication, dosage, frequency, and indication. Responses were coded subsequently.

**Hospital medical records abstraction**

Information on clinical management, both within the hospital during the baseline admission and in the ambulance in which the patient travelled to hospital, are obtained by abstraction of hospital clinical records (Box 2) using a structured pro forma.

**Polyclinic medical record abstraction**

As the study seeks to capture events across the entire patient journey, additional information is obtained from the polyclinic that the patient normally attends. In the Russian Federation individuals typically receive all continuing treatment at the same facility, either at where they live or work. The information required is extracted and recorded in two separate forms. The first relates to the 12 months prior to baseline admission. The second covers the 12-month period after that admission (Box 2).

**Death verification procedures**

Should a patient die it will usually be notified to the health facilities where they are receiving treatment. However, when participants are asked contact details they are also asked for details on a close relative, to help with tracing in case they are lost to follow up and not reported as dying. When deaths are identified, we will seek to obtain a copy of the medical death certificate from the health facility. For logistical reasons, it is not possible to undertake further adjudication of cause of death. However, over 50% of deaths in the Russian Federation are subject to autopsy19, a much higher proportion than in many other countries.

**Progress with recruitment**

As of February 2017, we had completed the baseline recruitment in all 13 regions (Table 2). A total of 1,107 patients have been recruited. The number from each region varied from 12 to 128.

There is considerable variation in the proportion of patients who were dead on arrival or within 24 hours and among those who survived who agreed to participate. 935 subjects have completed the 6-month questionnaire (84.5% response rate), 29 died within this 6-month period with 129 lost to follow up and 29 refused to participate.

**Analysis**

This is, to our knowledge, the first attempt to understand the actual practice and management of AMI in the Russian Federation in both the acute phase in the critical year following the event using standardized methods. As such, the analysis will be exploratory, seeking to describe the extent of variation across a wide range of institutions in the case mix treated in each facility, including distances travelled to gain admission, and processes of care, such as investigations and treatments. We will also look at differences in diagnostic criteria or thresholds for diagnosing an AMI. Subsequent analysis will examine health seeking behavior, prior to the episode leading to admission, during that episode, and over the 12 months following the MI. This will include issues such as adherence to medicines and its determinants.

These exploratory analyses will generate a series of hypotheses that can be explored subsequently. For example, these could include testing hypotheses that patients are less likely to be treated with PTCA if they have certain characteristics. Thus, illustrative questions for analyses will include whether the patient’s gender or employment status influence their decisions in seeking care, found in research elsewhere, where men tend to disclose their symptoms as a means to receive help, whereas women tend to wait for others to discover their symptoms95. Or do these characteristics influence clinical decisions, with patients who have certain characteristics treated differently? There are now many studies from other countries showing that, after taking account of differences in clinical features, older people96 and women17,19 presenting with AMI are less likely to receive active treatment. Although all the facilities undertaking PTCA provide a 24-hour service, are there differences in management according to time of day, as has been found elsewhere, with differences in both case-mix and outcomes96?
Table 2. Recruitment of patients to the study.

<table>
<thead>
<tr>
<th>Hospital</th>
<th>Potentially eligible patients</th>
<th>Died before first contact</th>
<th>% deaths before contact</th>
<th>% not meeting inclusion criteria</th>
<th>Refused to participate</th>
<th>Informed consent signed and included in study</th>
<th>% of potentially eligible patients recruited</th>
</tr>
</thead>
<tbody>
<tr>
<td>City hospital No 1, Archangelsk</td>
<td>89</td>
<td>0</td>
<td>0.0</td>
<td>13</td>
<td>10</td>
<td>66</td>
<td>74.2</td>
</tr>
<tr>
<td>Altay regional cardiology hospital, Barnaul</td>
<td>93</td>
<td>1</td>
<td>1.1</td>
<td>7</td>
<td>37</td>
<td>55</td>
<td>66.7</td>
</tr>
<tr>
<td>Belgorod regional hospital</td>
<td>105</td>
<td>2</td>
<td>1.9</td>
<td>4</td>
<td>3</td>
<td>96</td>
<td>91.4</td>
</tr>
<tr>
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<td>77</td>
<td>4</td>
<td>5.2</td>
<td>0</td>
<td>1</td>
<td>72</td>
<td>93.5</td>
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<td>3.2</td>
<td>7</td>
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<td>128</td>
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<td>5.4</td>
<td>2</td>
<td>18</td>
<td>121</td>
<td>81.2</td>
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<td>150</td>
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<td>4.0</td>
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<td>13</td>
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<td>54.5</td>
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<tr>
<td>Samara regional cardiology clinic</td>
<td>108</td>
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<td>5.6</td>
<td>1</td>
<td>1</td>
<td>100</td>
<td>92.6</td>
</tr>
<tr>
<td>Kinel rural hospital (Samara region)</td>
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<td>0</td>
<td>0</td>
<td>21</td>
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</tr>
<tr>
<td>Otradny rural hospital (Samara region)</td>
<td>7</td>
<td>0</td>
<td>0.0</td>
<td>0</td>
<td>0</td>
<td>7</td>
<td>100.0</td>
</tr>
<tr>
<td>Tuymen regional hospital</td>
<td>149</td>
<td>6</td>
<td>4.0</td>
<td>13</td>
<td>20</td>
<td>112</td>
<td>75.2</td>
</tr>
<tr>
<td>Khanty-Mansiysk regional hospital</td>
<td>90</td>
<td>6</td>
<td>6.7</td>
<td>0</td>
<td>10</td>
<td>65</td>
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<td>57</td>
<td>3.8</td>
<td>170</td>
<td>169</td>
<td>1107</td>
<td>74.2</td>
</tr>
</tbody>
</table>

Discussion

This study will, for the first time, provide detailed information on the AMI management in the Russian Federation, tracing the entire patient pathway and identifying variations in treatment, including both rates and delays, thereby elucidating barriers to effective management. Although it cannot claim to be nationally representative, it includes hospitals that span the entire spectrum of management in the Russian Federation, as judged by intervention rates. While recognising that the facilities we include may represent some of the better hospitals and clinics, in terms of staffing and facilities, our findings will still be of value. In this situation, they will provide an “upper” bound to the type and quality of care available to the bulk of the Russian population, at least outside of the large metropolitan centres of Moscow and St Petersburg.

There are some other sources of data on the management of AMI in Russia, including a federal registry, established in 2008 and including 213 clinics in 36 centres\(^{13}\). We will draw on these data to supplement our interpretation of what we find. However, the federal registry includes only data on the index hospital stay and has several methodological problems, such as a lack of clear recruitment procedure and considerable missing data. Another source is a series of RECORD studies, collecting data on patients with acute coronary syndrome (ACS). The most recent, RECORD 3,
was performed in the first 6 months of 2015 and included 2370 patients from 47 clinics. All patients with ACS hospitalized in the participating clinics in a single 1 month are recruited and although there is follow up over twelve months, these data are not available. Russian investigators also participate in the CLARIFY study, and have recruited 2,200 patients from across the country, but this collects different information than in the present study and included patients with stable forms of coronary heart disease.

Our study has strengths and weaknesses. First, although we can make no claim to be nationally representative, we do include a range of facilities in which AMI patients are treated and not only regional and academic centres. Moreover, we used a procedure to randomly select patients within facilities, this minimising the influence of subjective judgements that might have led to biases in the profile of cases. Second, we collect extensive data on pathways to care and follow-up not available elsewhere, including information that will enable us to gain insights into the reasons for any observed variations. However, we do not cover the entire country. In addition, our sample is based on willingness of cardiologists to participate. There is little tradition of clinician involvement in health services research in Russia, although we hope that this study will act as a catalyst to change this.

Ethical statement
This main study and the pilot study was approved by the ethics committees at the National Research Institute for Preventive Medicine, Moscow, Russia (approval number 01-04/15 dated 03.02.2015) and at the London School of Hygiene & Tropical Medicine, London, UK (approval number 9993 dated 1 June 2015). All study participants signed informed consent to participate in the study, to grant the access to medical history and other medical documentation and to be contacted at 6 and 12 months after hospitalization.

Competing interests
No competing interests were disclosed.

Grant information
This work was supported by the Wellcome Trust, as part of the International Project on Cardiovascular Disease in Russia (IPCDR) [100217]; the Norwegian Ministry of Health; the Norwegian Institute of Public Health; and UiT, The Arctic University of Norway.

The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Supplementary material
Supplementary File 1: Rate of PTCA per 100,000 populations in all Russian regions in 2015 (official statistics, Ministry of health).

Click here to access the data.

Supplementary File 2: Baseline hospital survey questionnaire.

Click here to access the data.

Supplementary File 3: Baseline hospital medical record extraction form.

Click here to access the data.

References

10. Chazov EI, Boytsov SA: Provision of medical care to patients with acute coronary syndrome within the framework of the creation of regional and


Open Peer Review

Current Referee Status:  ?  ?

Version 1

Referee Report 21 December 2017

doi:10.21956/wellcomeopenres.13511.r28441

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Department of Health and Social Care Systems, Social and Health Systems Research Unit, National Institute for Health and Welfare, Helsinki, Finland


1. Methods and study design / Objectives. We suggest that the overall objectives of the large study and objectives of this paper are clearly separated. Now the objectives describe mainly the aims of the large study and the aims of this paper remain indistinct.

2. Supplementary File 1. How the rate is calculated? Is it a crude or age-adjusted rate? It is necessary to take into account the varying age differences between the regions. We do not fully understand these figures. Without any further explanation, the figure in the file seems to suggest that 55% of the population have undergone a PTCA in one region, which does not sound plausible. Or is the population somehow fixed, i.e. not the total population of the corresponding age group? The variation between the regions is also huge. We suggest explaining these differences at some extent. How accurate is the data from the official statistics?

3. It is unclear, what is the proportion of potentially eligible AMI patients to all AMI patients in these facilities. It is important to know the (rough) estimate of the random sampling.

4. Box 1. Inclusion and exclusion criteria: The exclusion criterion “Patients, referred from another facility…” is not self-explanatory for the readers who do not know the Russian health care system. Why exclude referred patients if the objective is to explore treatment pathways. Information on the (estimated) proportions of the patients included /excluded of the total AMI patient would be helpful, as well.

5. Box 2. Study timeline: In the end date of the 6 months follow-up, there is an obvious mistake (Dec 2917).

6. Figure 1 – an extra comma ",," in the description of Selection.

7. Page 5. What are the facilities for these four hospitals to perform PTCAs (which were not able to perform PTCAs 24h)?

8. Table 1. Although this table describes the overall characteristics of these hospitals and not information on the study population, it would be informative to know the number of AMI patients in these hospitals. We
recommend stating more clearly that this table does not describe the study population. It should be stated if the percentage of patients undergoing PTCA in this table refers to these AMI patients hospitalized in first 24 hours from symptom onset or if it is the overall proportion of performed PTCA of all AMI or CHD patients.

9. Figure 3. The second box in the lower row: policlinic or polyclinic

10. Table 2. This table would benefit from some revision. It is somewhat confusing since there are numbers and percentages in disorder although it is mentioned in the column label. Perhaps percentage sign would clarify this.

The definitions of the categories “not meeting inclusion criteria”, “refused to participate”, and “died before first contact” are unclear:

- If a potentially eligible patient has died before the first contact, why he/she is not categorized as a “not meeting inclusion criteria” patient (one of the inclusion criteria)?
- I understand these columns so that “not meeting inclusion criteria” and “refused to participate can be nested in some cases (Barnaul, Tuymen, Khanty-Mansiysk) or are the values incorrect for these hospitals? (Tuymen: 6+13+20+112=151, not 149)

Values for Barnaul do not tally (93*0.667=62 NOT 55).

11. Page 8, Progress with recruitment “The number from each region varied from 12 to…”. We suppose the correct number is 7.

12. Analysis. Planned statistical methods to analyse this data are described only in the Abstract. We are concerned whether the number of this dataset will be enough for the multilevel modelling. There are only 7 cases in one region and 12 in the other and the number of covariate variables is large. Have you done some preliminary analyses to ensure that multilevel modelling is feasible?

**Competing Interests:** No competing interests were disclosed.

We have read this submission. We believe that we have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however we have significant reservations, as outlined above.

**Author Response 18 Mar 2018**

**Anna Kontsevaya**, therapy, Ivanovo state medical academy, Russian Federation

1. Methods and study design / Objectives. We suggest that the overall objectives of the large study and objectives of this paper are clearly separated. Now the objectives describe mainly the aims of the large study and the aims of this paper remain indistinct.

**Response: the objectives of the study have now been added to the text and a clear distinction between the larger study and paper objectives made (page 4 line 14)**

2. Supplementary File 1. How the rate is calculated? Is it a crude or age-adjusted rate? It is necessary to take into account the varying age differences between the regions. We do not fully understand these figures. Without any further explanation, the figure in the file seems to suggest
that 55% of the population have undergone a PTCA in one region, which does not sound plausible. Or is the population somehow fixed, i.e. not the total population of the corresponding age group? The variation between the regions is also huge. We suggest explaining these differences at some extent. How accurate is the data from the official statistics?

**Response:** We thank the reviewer for pointing out an error in that the wrong graph was uploaded. This has now been corrected. The figures are the crude unadjusted rates (per 100 000 of population). These data are collected by regional health administrators and are believed to be accurate as they are the basis for payment and are carefully audited. However, it is not possible to calculate directly or indirectly standardized rates as they are not broken down by age.

3. It is unclear, what is the proportion of potentially eligible AMI patients to all AMI patients in these facilities. It is important to know the (rough) estimate of the random sampling.

**Response:** We did not systematically collect data on all patients admitted during the recruitment period. However, we do have information on the number of patients with MI admitted in 2015 and, from that, can estimate the number that would be admitted in a 6 month period (ignoring seasonal variation). We have now calculated the number recruited as a percentage of this figure. This ranges from 9% to 87% and is now shown in an additional column (last column on the right) in Table 2, for the 13 hospitals from which data from the Ministry of Health were available.

4. Box 1. Inclusion and exclusion criteria: The exclusion criterion "Patients, referred from another facility..." is not self-explanatory for the readers who do not know the Russian health care system. Why exclude referred patients if the objective is to explore treatment pathways. Information on the (estimated) proportions of the patients included/excluded of the total AMI patient would be helpful, as well.

**Response** We agree that a comprehensive assessment would include such patients. However, we faced several constraints. Most importantly, we did not have the capacity (in terms of trained clinicians willing to make the non-trivial commitment to participate and manage data collection in their hospitals) to include all hospitals in each region. This meant that we could not follow the entire pathway for all patients so we had to decide a point at which they entered the hospital system. We decided that this would most appropriately be their first admission to any hospital or a referral within 24hrs of the index event from another facility. By including a small number of rural hospitals, we then could begin to understand what types of patients are referred onwards to these larger centres, thus getting at least some idea about this group. Unfortunately, we do not have precise information on patients referred over 24 hours after the index event. However, within our sample, 21% of patients were transferred within 24 hours from a hospital offering no PCTA to a hospital within our study that does, 6% were referred from a cardiologist at a polyclinic within the first 24 hours.

5. Box 2. Study timeline: In the end date of the 6 months follow-up, there is an obvious mistake (Dec 2917).

**Response:** this has been corrected (page 6)
6. Figure 1 – an extra comma ",," in the description of Selection.

Response: this has been corrected

7. Page 5. What are the facilities for these four hospitals to perform PTCAs (which were not able to perform PTCAs 24h)?

Response: They can provide thrombolysis. This has been clarified. The section now reads “Twelve (75%) of the facilities can perform PTCAs, all 24 hours a day; the remaining four are two small municipal hospitals in Samara, the regional cardiology hospital in Bryansk and one of the hospitals in Tver, all of which are able to perform thrombolysis.”

8. Table 1. Although this table describes the overall characteristics of these hospitals and not information on the study population, it would be informative to know the number of AMI patients in these hospitals. We recommend stating more clearly that this table does not describe the study population. It should be stated if the percentage of patients undergoing PTCA in this table refers to these AMI patients hospitalized in first 24 hours from symptom onset or if it is the overall proportion of performed PTCAs of all AMI or CHD patients.

Response: We have now added the number of AMI patients in 2015 and revised the text for clarity. We have also revised the table heading.

9. Figure 3. The second box in the lower row: policlinic or polyclinic

Response: We now use Polyclinic throughout for consistency

10. Table 2. This table would benefit from some revision. It is somewhat confusing since there are numbers and percentages in disorder although it is mentioned in the column label. Perhaps percentage sign would clarify this.

The definitions of the categories “not meeting inclusion criteria”, “refused to participate”, and “died before first contact” are unclear:

- If a potentially eligible patient has died before the first contact, why he/she is not categorized as a “not meeting inclusion criteria” patient (one of the inclusion criteria)?
- I understand these columns so that “not meeting inclusion criteria” and “refused to participate can be nested in some cases (Barnaul, Tuymen, Khanty-Mansiysk) or are the values incorrect for these hospitals? (Tuymen: 6+13+20+112=151, not 149)
Values for Barnaul do not tally (93*0.667=62 NOT 55).

Response: The table has been reformatted and updated. Since the first submission some numbers have changed due to data cleaning. Now all the numbers sum to 100% and each patient can be only in one category. We have clarified the definitions in a footnote

11. Page 8, Progress with recruitment “The number from each region varied from 12 to…”. We suppose the correct number is 7.

Response: We are referring to regions, not individual clinics here. Regionally, the lowest number was 12 for the Saratov region; it is the number from one clinic in Samara region, but there are 3 clinics there and total number for Samara region is 128. As a result, these
12. Analysis. Planned statistical methods to analyse this data are described only in the Abstract. We are concerned whether the number of this dataset will be enough for the multilevel modelling. There are only 7 cases in one region and 12 in the other and the number of covariate variables is large. Have you done some preliminary analyses to ensure that multilevel modelling is feasible?

Response: We thank the reviewers for raising this concern. We are planning, at the very least, multivariate analyses of the data. Multilevel modelling will form part of our analysis strategy, only where it is possible and the results obtained are of substantive interest and perform well in model diagnostic tests. Due to the nature of our data we absolutely do not expect to be able to incorporate any random slopes, for example, and thus this is not planned, we are most interested in a variance components (random intercept) model.

We are interested in the use of a random intercept both technically (in accounting for clustering and subsequent effects on precision of estimates) and substantively. We do not wish to run multilevel models unnecessarily and will consider how to stratify the sample for any multilevel analyses run to ensure they contribute to the overall aims of the study. Were multilevel modelling deemed inappropriate once model diagnostics are obtained we will look to the use of robust standard errors and/or fixed effects.

However, from a statistical perspective, using standard ‘rules of thumb’ we agree that any analyses with a regional intercept would more than likely require the exclusion of the Saratov region from the analysis (the lowest total number of patients is 12 (the region of Saratov) and we agree that this region would need to be excluded from any analyses that involved a random intercept, similarly the Samara hospital with 7 cases (and Saratov with 12) would also be excluded. We will also be considering ability to check normality assumption and non-zero variance when fitting these models, we strive to derive a technically appropriate substantively insightful analysis and there is a process of iteration in these planned analyses.

We have adapted our references to the analysis strategy to highlight its multivariate nature, and the implementation of multilevel modelling where possible and in line with our substantive aims.

Competing Interests: No competing interests were disclosed.
on 1122 patients and the protocol acknowledges that the sample is not representative.

**Major comments:**

1. Criteria for diagnosis of MI should reference WHO criteria. The current description of criteria is inadequate (e.g. no description of ST elevation, T-wave inversion, onset of Q-waves), elevated plasma levels of cardiac enzyme, etc. It would be best to specify a gold standard and see how many achieved this standard.

2. The impact of the requirement to survive 24 hours after presentation should be assessed.

3. It is unclear if only patients admitted to a coronary care unit or cardiology ward are eligible or whether the study involves all wards in any one hospital.

4. The study mentions specialized cardiology departments and general hospitals with cardiology departments, but does not specify if the difference is access to cardiac catheterization or cardiac surgery or other criteria. Selection bias may be relevant in the analysis.

5. Table 1 provides characteristics of 13 hospitals but does not involve number of beds (measure of hospital size) or type of cardiology department (see Response 4.). Likewise, Table 1 should include mean age of study participants as the mortality may vary if the study clinic involves an older population.

6. Clarify what are polyclinic cardiologists (Box 3) and how these differ from cardiology departments.

7. The response rate was very low for Tver regional hospital, Rostov-on-Don and Saratov hospitals, but the reasons are unclear. It is also unclear why 78% of patients attending Rostov-on-Don were ineligible for the study. These discrepancies raise serious questions about the conclusions of this study.

8. The study report has multiple small grammatical errors that should be corrected prior to publication by careful review by a native English speaker. For example, the abstract includes a mixture of present and past tense when it would be best to limit it to past tense.

9. The data extracted from medical records should be used to confirm the diagnosis of MI.

10. The study did not mention whether there were written (online) protocols in place for management of AMI in some or all of these hospitals.

**Is the rationale for, and objectives of, the study clearly described?**

Yes

**Is the study design appropriate for the research question?**

Yes

**Are sufficient details of the methods provided to allow replication by others?**

Yes

**Are the datasets clearly presented in a useable and accessible format?**

Yes
**Competing Interests:** No competing interests were disclosed.

**Referee Expertise:** Epidemiology of cardiovascular disease

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

---

**Anna Kontsevaya**, therapy, Ivanovo state medical academy, Russian Federation

1. Criteria for diagnosis of MI should reference WHO criteria. The current description of criteria is inadequate (e.g. no description of ST elevation, T-wave inversion, onset of Q-waves), elevated plasma levels of cardiac enzyme, etc. It would be best to specify a gold standard and see how many achieved this standard.

   **Response:** we obviously failed to clarify the aims of the study, and as requested by the other reviewer, have now done this. At the outset we felt that we could not be sure what diagnostic criteria were being used in Russian hospitals. Thus, one of our research questions was whether Russian clinicians were using WHO criteria. We now have the information that will allow us to answer that question. A secondary question was what happens to patients who have been given a diagnosis of AMI, regardless of whether it meets WHO criteria. This will then allow us to assess appropriateness of treatment. We hope we have now clarified this in the text.

2. The impact of the requirement to survive 24 hours after presentation should be assessed.

   **Response:** The reviewer raises an important point but we believe that this would require a separate study. It is important to reiterate that this is the first study ever, to our knowledge, to study the management of AMI in Russian hospitals in a standardized manner, using established instruments. Even getting to this stage has been extremely challenging as there is very little (if any) tradition of health services research in Russia outside a few centres in the main cities. Thus, even when we have finished it there is a great deal that we will not know. The ideal way to answer this question would be to look specifically at those dying within 24 hours after admission, but this would require trained staff who could approach the families of patients in their own homes and collect sensitive information. We have some experience of doing this in our earlier research in Izhevsk, where we did collect data from family members of men who had died, but that project, in a single city, required a degree of effort that was an order of magnitude greater than what has been available to us here, including intensive supervision to ensure quality.

3. It is unclear if only patients admitted to a coronary care unit or cardiology ward are eligible or whether the study involves all wards in any one hospital.

   **Response:** patients admitted to any ward of the clinic with primary diagnosis of MI were eligible. The presence of this diagnosis inevitably led to hospitalization either in a coronary care unit or an intensive care unit if one was unavailable. A sentence
clarifying this has been added to the ‘Study population and recruitment’ section on pages 4-5.

4. The study mentions specialized cardiology departments and general hospitals with cardiology departments, but does not specify if the difference is access to cardiac catheterization or cardiac surgery or other criteria. Selection bias may be relevant in the analysis.

Response: The facilities have been selected to include a range of those that exist in Russia. One of the questions we will be asking is to what extent the availability of facilities influences practice, although we concede that the study has limited power to go far in this direction. We are not sure if the reviewer means selection bias in terms of who goes where. If so, we suspect this will not be an issue as in all but a few very large cities there is no real choice. We have added a sentence on cardiac surgery in the ‘selection and characteristics of facilities’ section on page 7

5. Table 1 provides characteristics of 13 hospitals but does not involve number of beds (measure of hospital size) or type of cardiology department (see Response 4.). Likewise, Table 1 should include mean age of study participants as the mortality may vary if the study clinic involves an older population.

Response: We have added columns with numbers of number of beds (total and cardiology) to Table 1. We have also added a separate, new table with mean (and SD) age (Table 3).

6. Clarify what are polyclinic cardiologists (Box 3) and how these differ from cardiology departments.

Response: We realise that we should have clarified this and have added some words. In brief, in Russia, there are physicians who have received training in a speciality, but at a very basic level. They are employed in polyclinics but their skills are not commensurate with what one would expect in the West and most would have the training in a specialist area possessed by a GP elsewhere (but they would not work outside that speciality). For example, they would undertake basic assessments of ECGs etc. and provide medical management of angina. In contrast, those working in cardiology departments in hospital would have a high level of training, including in areas such as interventional cardiology (which is separate subspecialty which requires separate training). We have now clarified this in box 3 (page 11)

7. The response rate was very low for Tver regional hospital, Rostov-on-Don and Saratov hospitals, but the reasons are unclear. It is also unclear why 78% of patients attending Rostov-on-Don were ineligible for the study. These discrepancies raise serious questions about the conclusions of this study.

Response: We agree that this is a potential problem. We have been unable to elicit reasons for these differences and, once the data have been fully cleaned, we will
examine them carefully for any unusual features, as well as considering the use of sensitivity analyses when we come to analyse the data.

8. The study report has multiple small grammatical errors that should be corrected prior to publication by careful review by a native English speaker. For example, the abstract includes a mixture of present and past tense when it would be best to limit it to past tense.

Response: We have been through the paper again carefully and we hope that any issues have now been resolved.

9. The data extracted from medical records should be used to confirm the diagnosis of MI.

Response: This is being done. We are sorry if we did not clarify this in the text, which we now do. Figure 3 includes the point about hospital record extraction and box 3 details of the data extracted.

10. The study did not mention whether there were written (online) protocols in place for management of AMI in some or all of these hospitals.

Response: We agree that we should have mentioned this (in fact, we have another paper under review which describes in detail the process by which guidelines have been developed in Russia). The relevant document covering the period when these patients were treated is the Federal clinical guideline approved by Ministry of health in 2013.

http://mzdrav.rk.gov.ru/file/mzdrav_18042014_Klinicheskie_rekomendacii_Ostryj_infarkt

This has now been added.

**Competing Interests:** No competing interests were disclosed.